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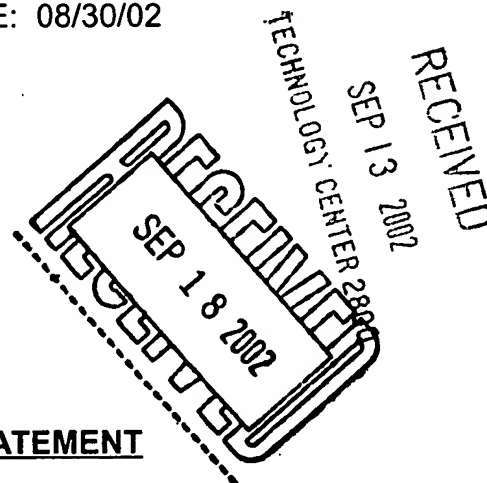
Docket No. 71703

#6
SEP
9-19-02

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Neff et al.
Application No.: 10/008,463
Filed: November 9, 2001
Title: FREE-SPACE OPTICAL
COMMUNICATION SYSTEM
Group Art Unit: 2851
Examiner: TBD

DATE: 08/30/02



INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
Washington, DC 20231

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SEP 18 2002

Dear Sir:

Technology Center 2600

This Information Disclosure Statement is submitted:

- X Under 37 CFR §1.97(b) (within three months of the filing date of a national application or date of entry of an international application, or before the mailing date of a first Office Action on the merits, whichever occurs last). However, should a first Office Action on the merits be mailed unbeknownst to the undersigned prior to the date of mailing of this paper, the fee requested under §1.17(p) may be charged to Deposit Account No. 06-1135.
- Under 37 CFR §1.97(c) together with either:
- a statement under 37 CFR §1.97(e), or
 - a fee under 37 CFR §1.17(p)
(after the 37 CFR §1.97(b) time period, but before the mailing date of a final Action, a Notice of Allowance, or an action that otherwise closes prosecution).

IDS

Application No. 10/008,463

Page 2

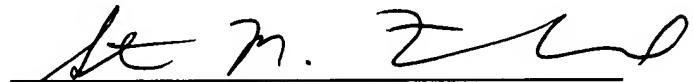
- ____ Under 37 CFR §1.97(d) together with:
____ a statement under 37 CFR §1.97(e), and
____ a fee under 37 CFR §1.17(p)
(after the 37 CFR §1.97(c) time period, but on or before payment of
the issue fee).

In accordance with the duty of disclosure imposed by 37 CFR § 1.56 to inform the Patent Office of all patents, publications, applications and other information known by Applicants or Applicants' representative that may be material to the examination of the subject application, Applicants' representative hereby provides this Information Disclosure Statement that is prepared in accordance with 37 CFR §§ 1.97-1.98 and includes Form PTO-1449 with one (1) reference listed as item AA.

It is requested that the information provided herein be made of record in this application.

Dated: August 30, 2002

Respectfully submitted,



Steven M. Freeland
Attorney for Applicant(s)
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Form PTO-1449 (Modified) INFORMATION DISCLOSURE CITATION IN AN APPLICATION SEP 04 2002 PATENT & TRADEMARK OFFICE	Application No.	10/008,463
	Filing Date	November 8, 2001
	First Named Inventor	Neff
	Group Art Unit	2851
	Examiner Name	TBD
Sheet 1 of 1	Attorney Docket No.	71703

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U.S. PATENT DOCUMENTS									
EXAMINER INITIALS*	CITE NO.	COPY NOT ENCLOSED PER 37 CFR § 1.98(d)	U.S. PATENT DOCUMENT		NAME OF INVENTOR OR APPLICANT	DATE OF ISSUANCE OR PUBLICATION (MM-DD- YYYY)	CLASS	SUB CLASS	FILING DATE (if appropriate)
			PATENT, PUB., OR APP. NO.	KIND CODE (if known)					
	AA		6,381,055	B1	Javitt et al.	04-30-2001			04-16-1998

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FOREIGN PATENT DOCUMENTS Technology Center 2600										
EXAMINER INITIALS*	CITE NO.	COPY NOT ENCLOSED PER 37 CFR § 1.98(d)	FOREIGN PATENT DOCUMENT			DATE OF PUBLICATION (MM-DD-YYYY)	CLASS	SUB CLASS	TRANSLATION	
			COUNTRY OR OFFICE (two-letter code)	DOCUMENT NO.	KIND CODE (if known)				YES	NO

OTHER DOCUMENTS -- NON PATENT LITERATURE DOCUMENTS			
EXAMINER INITIALS*	CITE NO.	COPY NOT ENCLOSED PER 37 CFR § 1.98(d)	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and-or country where published.

Examiner Signature		Date Considered	
*EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP §609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.			

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TRANSMITTAL FORM SEP 04 2002 U.S. PATENT & TRADEMARK OFFICE (Use for all correspondence after initial filing)	Application Number	10/008,463
	Filing Date	November 9, 2001
	First Named Inventor	Neff
	Group Art Unit	2851
	Examiner Name	TBD
Total Number of Pages in This Submission		Attorney Docket Number 71703

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<input type="checkbox"/> Fee Transmittal Form (in duplicate) <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): 1. Form PTO- 1449 2. One Reference 3. Return Receipt Postcard
Remarks		RECEIVED SEP 18 2002 Technology Center 2600

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Individual name	Steven M. Freeland, Reg. No. 42,555
Signature	<i>Steven M. Freeland</i>
Date	August 30, 2002

CERTIFICATE OF MAILING			
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231 on this date: August 30, 2002			
Typed or printed name	Steven M. Freeland		
Signature	<i>Steven M. Freeland</i>	Date	August 30, 2002

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